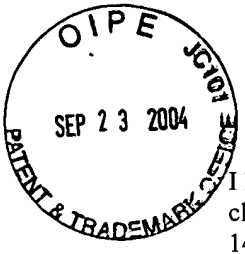


1638
JH

USSN: 09/732,348
Atty. Dkt. No.: 8325-2012
Client Dkt. No.: G12-US1

PATENT



CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 20, 2004.

9/20/04
Date

Michelle Hobson
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

CHOO et al.

Serial No.: 09/732,348

Filing Date: December 7, 2000

Title: REGULATED GENE EXPRESSION IN
PLANTS

Examiner: C. Collins

Group Art Unit: 1638

Confirmation No.: 1675

Customer No.: 20855

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:


Transmitted herewith for filing are the following documents:

- X Power of Attorney by Assignee for Gendaq. Ltd. (2 pages) with attached Certificate Under 37 C.F.R. 3.73(b) (1 page) with attached copy of the Notice of Recordation of Assignment and Assignment documents (6 pages)
- X Power of Attorney by Assignee for The Rockefeller University (2 pages) with attached Certificate Under 37 C.F.R. 3.73(b) (1 page) with attached copy of the Notice of Recordation of Assignment and Assignment documents (4 pages)
- X Also enclosed: a return receipt postcard.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: September 20, 2004

By: 
Dahna S. Pasternak
Registration No. 41,411

ROBINS & PASTERNAK LLP
1731 Embarcadero Road, Suite 230
Palo Alto, CA 94303
Tel.: (650) 493-3400
Fax: (650) 493-3440



USSN: 09/732,348
Atty. Dkt. No.: 8325-2012
Client Dkt. No.: G12-US1

PATENT

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Sept. 20, 2004

9/20/04

Date

Michelle Hotson

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

CHOO et al.

Serial No.: 09/732,348

Filing Date: December 7, 2000

Title: REGULATED GENE
EXPRESSION IN PLANTS

Examiner: C. Collins

Group Art Unit: 1638

Confirmation No.: 1675

Customer No.: 20855

POWER OF ATTORNEY BY ASSIGNEE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The assignee of a partial right, title, and interest in the above-identified application by virtue of an Assignment recorded on August 25, 2004 at Reel/Frame 015084/0708 hereby grants Sean M. Brennan, Ph.D., Reg. No. 39,917, and the registered practitioners of Robins & Pasternak LLP included in the Customer Number provided below power to act, prosecute, and transact all business in the U.S. Patent and Trademark Office in connection with this application, any applications claiming priority to this application, and any patents issuing therefrom. A Certificate under 37 C.F.R. §3.73(b) is enclosed.

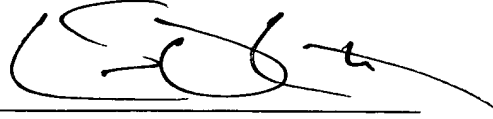
USSN: 09/732,348
Atty. Dkt. No.: 8325-2012
Client Dkt. No.: G12-US1

Please address all further communications to Dahna S. Pasternak at:

Customer No. 20855
ROBINS & PASTERNAK LLP
1731 Embarcadero Road, Suite 230
Palo Alto, CA 94303
Telephone: (650) 493-3400
Fax: (650) 493-3440

Date:

9/10/04



GENDAQ LTD.
Name: Edward O. Lanphier, II
Title: Director



USSN: 09/732,348
Atty. Dkt. No.: 8325-2012
Client Dkt. No.: G12-US1

PATENT

CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on
Sept 20, 2004

9/20/04 Michelle Hobson
Date Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

CHOO et al.

Serial No.: 09/732,348

Filing Date: December 7, 2000

Title: REGULATED GENE EXPRESSION
IN PLANTS

Examiner: C. Collins

Group Art Unit: 1638

Confirmation No.: 1675

Customer No.: 20855

CERTIFICATE UNDER 37 CFR 3.73(b)

Gendaq, Ltd., a corporation, certifies that it is the assignee of a partial right, title and interest in the patent application identified above by virtue of an assignment from inventors Yen Choo and Christopher Graeme Ullman of the patent application identified above. A copy of the assignment recorded on August 25, 2004 at Reel/Frame 015084/0708 is attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 9/10/04

GENDAQ LTD.

Name: Edward O. Lanphier, II

Title: Director



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



700109749

SEPTEMBER 07, 2004

PTAS

ROBINS & PASTERNAK LLP
DAHNA S. PASTERNAK
1731 EMBARCADERO ROAD, SUITE 230
PALO ALTO, CA 94303

COPY

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/25/2004

REEL/FRAME: 015084/0708
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHOO, YEN

DOC DATE: 06/10/2004

ASSIGNOR:

ULLMAN, CHRISTOPHER GRAEME

DOC DATE: 07/23/2004

ASSIGNEE:

GENDAQ LIMITED
C/O HALE AND DORR, ALDER CASTLE
10 NOBLE STREET
LONDON EC2V 7QJ, UNITED KINGDOM

SERIAL NUMBER: 09732348

FILING DATE: 12/07/2000

PATENT NUMBER:

ISSUE DATE:

TITLE: REGULATED GENE EXPRESSION IN PLANTS

015084/0708 PAGE 2

LENELL MACKALL, SUPERVISOR
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

JOINT

THIS ASSIGNMENT, by Yen Choo and Christopher Graeme Ullman (hereinafter referred to as the assignors), residing at London, United Kingdom and Cambridge, United Kingdom respectively, witnesseth:

WHEREAS, the said assignors are co-inventors of certain new and useful improvements in REGULATED GENE EXPRESSION IN PLANTS set forth in an application for Letters Patent of the United States, bearing Serial No. 09/732,348 filing date December 7, 2000; and

WHEREAS, Gendaq Ltd., a corporation duly organized under and pursuant to the laws of England and Wales, and having its principal place of business at c/o Hale and Dorr, Alder Castle, 10 Noble Street, London, EC2V 7QJ (hereinafter referred to as the assignee) is desirous of acquiring the said assignors' entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, their entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Union for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

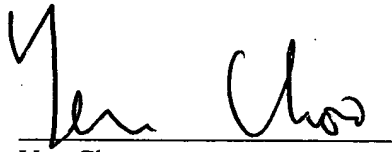
AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the lawful owners of their entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are

unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the use and behoof of the said assignee, its successors, legal representatives and assigns.

Date 10/2/04 Name of Inventor


Yen Choo

Date _____ Name of Inventor

Christopher Graeme Ullman

ASSIGNMENT

JOINT

THIS ASSIGNMENT, by Yen Choo and Christopher Graeme Ullman (hereinafter referred to as the assignors), residing at London, United Kingdom and Cambridge, United Kingdom respectively, witnesseth:

WHEREAS, the said assignors are co-inventors of certain new and useful improvements in REGULATED GENE EXPRESSION IN PLANTS set forth in an application for Letters Patent of the United States, bearing Serial No. 09/732,348 filing date December 7, 2000; and

WHEREAS, Gendaq Ltd., a corporation duly organized under and pursuant to the laws of England and Wales, and having its principal place of business at c/o Hale and Dorr, Alder Castle, 10 Noble Street, London, EC2V 7QJ (hereinafter referred to as the assignee) is desirous of acquiring the said assignors' entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, their entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Union for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the lawful owners of their entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are

unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the use and behoof of the said assignee, its successors, legal representatives and assigns.

Date _____ Name of Inventor _____
Yen Choo

Date 23/7/04 Name of Inventor 
Christopher Graeme Ullman



USSN: 09/732,348
Atty. Dkt. No.: 8325-2012
Client Dkt. No.: G12-US1

PATENT

CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on

Sept 20, 2004

9/20/04

Date

Michelle Hobson

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

CHOO et al.

Serial No.: 09/732,348

Filing Date: December 7, 2000

Title: REGULATED GENE
EXPRESSION IN PLANTS

Examiner: C. Collins

Group Art Unit: 1638

Confirmation No.: 1675

Customer No.: 20855

POWER OF ATTORNEY BY ASSIGNEE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The assignee of a partial right, title, and interest in the above-identified application by virtue of an Assignment recorded on August 25, 2004 at Reel/Frame 015084/0660 hereby grants Sean M. Brennan, Ph.D., Reg. No. 39,917, and the registered practitioners of Robins & Pasternak LLP included in the Customer Number provided below power to act, prosecute, and transact all business in the U.S. Patent and Trademark Office in connection with this application, any applications claiming priority to this application, and any patents issuing therefrom. A Certificate under 37 C.F.R. §3.73(b) is enclosed.

USSN: 09/732,348
Atty. Dkt. No.: 8325-2012
Client Dkt. No.: G12-US1

Please address all further communications to Dahna S. Pasternak at:

Customer No. 20855
ROBINS & PASTERNAK LLP
1731 Embarcadero Road, Suite 230
Palo Alto, CA 94303
Telephone: (650) 493-3400
Fax: (650) 493-3440

Date:

15 Sept 2014



The Rockefeller University

Name: KATHLEEN A. DENIS, Ph.D
Title: ASSOCIATE VICE PRESIDENT
TECHNOLOGY TRANSFER



USSN: 09/732,348
Atty. Dkt. No.: 8325-2012
Client Dkt. No.: G12-US1

PATENT

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Sept. 20, 2004

9/20/04

Date

Michelle Hobson

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

CHOO et al.

Serial No.: 09/732,348

Filing Date: December 7, 2000

Title: **REGULATED GENE EXPRESSION
IN PLANTS**

Examiner: C. Collins

Group Art Unit: 1638

Confirmation No.: 1675

Customer No.: 20855

CERTIFICATE UNDER 37 CFR 3.73(b)

The Rockefeller University, a corporation, certifies that it is the assignee of a partial right, title and interest in the patent application identified above by virtue of an assignment from inventors Nam-Hai Chua and Juan Pablo Sanchez of the patent application identified above. A copy of the assignment recorded on August 25, 2004 at Reel/Frame 015084/0660 is attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date:

15 Sept 2004

Kathleen A. Denis

The Rockefeller University

Name:

Title:

KATHLEEN A. DENIS, Ph.D
ASSOCIATE VICE PRESIDENT
TECHNOLOGY TRANSFER



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



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SEPTEMBER 07, 2004

PTAS

ROBINS & PASTERNAK LLP
DAHNA S. PASTERNAK
1731 EMBARCADERO ROAD, SUITE 230
PALO ALTO, CA 94303

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 08/25/2004

REEL/FRAME: 015084/0660
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 8325-2012

ASSIGNOR:

CHUA, NAM-HAI

DOC DATE: 06/11/2004

ASSIGNOR:

SANCHEZ, JUAN PABLO

DOC DATE: 06/28/2004

ASSIGNEE:

ROCKEFELLER UNIVERSITY, THE
1230 YORK AVENUE
NEW YORK, NEW YORK 10021

SERIAL NUMBER: 09732348

FILING DATE: 12/07/2000

PATENT NUMBER:

ISSUE DATE:

TITLE: REGULATED GENE EXPRESSION IN PLANTS

015084/0660 PAGE 2

LENELL MACKALL, SUPERVISOR
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

JOINT

THIS ASSIGNMENT, by Nam-Hai Chua and Juan Pablo Sanchez (hereinafter referred to as the assignors), residing at Scarsdale, New York and New York, New York respectively, witnesseth:

WHEREAS, the said assignors are co-inventors of certain new and useful improvements in REGULATED GENE EXPRESSION IN PLANTS set forth in an application for Letters Patent of the United States, bearing Serial No. 09/732,348 filing date December 7, 2000; and

WHEREAS, The Rockefeller University, a corporation duly organized under and pursuant to the laws of New York, and having its principal place of business at 1230 York Avenue, New York, New York 10021 (hereinafter referred to as the assignee) is desirous of acquiring the said assignors' entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

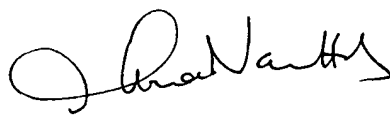
NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, their entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Union for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignors are the lawful owners of their entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

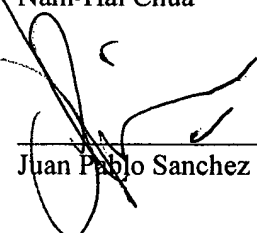
AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the use and behoof of the said assignee, its successors, legal representatives and assigns.

Date June 11, 04 Name of Inventor



Nam-Hai Chua

Date June 28, 04 Name of Inventor



Juan Pablo Sanchez